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6
7 **IN THE DISTRICT COURT OF GUAM**

8 **ALEXANDER ALLEN,** by and
9 through his Guardian Ad Litem
 SCOTT ALLEN,

CASE NO. 1:21-cv-00008

10 Plaintiff,

11 v.

12 **P.H.R. MICRONESIA, INC., dba**
13 **HYATT REGENCY GUAM HOTEL and**
14 **NATIONAL UNION FIRE INSURANCE**
 COMPANY OF PITTSBURGH,
15 **PENNSYLVANIA,**

16 Defendants.

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19
20 **MOTION TO DISMISS DEFENDANTS'**
21 **COUNTERCLAIM AGAINST SCOTT ALLEN**
22 **[F.R.CIV.P. RULES 12(b)(2) and 12(b)(6)]**
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1 **I. INTRODUCTION**

2 Scott Allen, by special appearance, respectfully moves the
3 court pursuant to F.R.Civ.P. 12(b) for an order dismissing
4 defendants' counterclaim for lack of personal jurisdiction under
5 Rule 12(b)(2) and for failure to state a claim upon which relief
6 can be granted under Rule 12(b)(6). Defendants' counterclaim is
7 improperly brought against a nonparty and has no legal basis.
8 Hence, it should be dismissed.

9
10 **II. RELEVANT PROCEDURAL BACKGROUND**

11 Plaintiff Alexander Allen ("Alex") filed the present lawsuit
12 against defendants on January 15, 2021 for the traumatic
13 amputation of his left hand small finger at the distal
14 interphalangeal joint while going down a water slide at the
15 Hyatt Regency Guam Hotel ("Hyatt") (ECF No. 1). As Alex is a
16 minor, simultaneous with the filing of his Complaint, he filed
17 a Petition For Appointment of Guardian Ad Litem (ECF No. 2). On
18 January 19, 2021, the court granted his petition and appointed
19 his father, Scott Allen, as his Guardian Ad Litem (ECF No. 3).
20 On February 11, 2021 plaintiff filed his First Amended Complaint
21 against defendants for the Hyatt's negligent design,
22 installation, maintenance and operation of its water slide and
23 its immediately surrounding premises (ECF No. 8). On June 14,
24 2021, defendants filed their answer and counterclaimed against
25 Scott Allen for negligent supervision of Alex and thence sought
26 contribution for damages in the event that defendants are found
27 to be liable for the injury to Alex (ECF No. 23, pp.5-7).

III. LEGAL STANDARD

Pursuant to Rule 12(b)(2), a court may dismiss a complaint for lack of personal jurisdiction. Personal jurisdiction is an essential element of the jurisdiction of a district court without which the court is powerless to proceed to an adjudication. *Ruhrgas AG v. Marathon Oil Co.*, 526 U.S. 574, 584, 119 S.Ct. 1563, 143 L.Ed.2d 760 (1999). Proper service of the summons and complaint is required for the court to have personal jurisdiction over a defendant. *Omni Capital Int'l. Ltd. v. Rudolf Wolff & Co., Ltd.*, 484 U.S. 97, 104, 108 S.Ct. 404, 98 L.Ed.2d 415 (1987) ("Before a federal court may exercise personal jurisdiction over a defendant, the procedural requirement of service of summons must be satisfied.") "A federal district court acquires personal jurisdiction over a defendant when the plaintiff serves the defendant with the complaint in a manner specified by Rule 4 of the Federal Rules of Civil Procedure." *Lampe v. Xouth, Inc.*, 952 F.2d 697, 701 (3d Cir.1991). The party opposing a Rule 12(b)(2) motion bears the burden of establishing that jurisdiction is proper. See, *Lindora, LLC v. Isagenix Int'l, LLC*, 198 F. Supp. 3d 1127, 1135 (S.D. Cal. 2016).

Under Rule 12(b)(6) the court may dismiss a complaint for failure to state a claim upon which relief can be granted. A plaintiff's complaint "must contain sufficient factual matter, accepted as true, to 'state a claim to relief that is plausible on its face.'" *Ashcroft v. Iqbal*, 556 U.S. 662, 678 (2009). A claim has facial plausibility when the pleaded factual content

1 allows the Court to draw the reasonable inference, based on the
2 Court's judicial experience and common sense, that the defendant
3 is liable for the misconduct alleged. *Allen v. Zimmer Holdings,*
4 *Inc.*, 2015 WL 6637232 at *1 (D. Nev. 2015). The purpose of the
5 rule is to permit the court to use its resources most
6 efficiently by eliminating legally fatal claims. *Advanced*
7 *Cardiovascular Sys., Inc. v. Scimed Life Sys., Inc.*, 988 F.2d
8 1157, 1160 (Fed. Cir. 1993).

9 Even if the Rule 12(b)(2) motion to dismiss for lack of
10 personal jurisdiction is dispositive here, Rule 12(b) encourages
11 the inclusion of all available defenses in its subsections (1)
12 to (7) at this stage. It states that "[n]o defense or objection
13 is waived by being joined with one or more other defenses or
14 objections in a responsive pleading or motion." This allows the
15 court to dispose of all threshold jurisdictional matters before
16 it. Rule 12(g)(2) also requires the consolidation of all Rule
17 12(b) defenses available into one motion. "Rule 12(g)'s purpose
18 is to require a defendant to bring forward all the specified
19 defenses it then has to permit timely and efficient resolution
20 of all such defenses and avoid dilatory and wasteful piecemeal
21 attacks on a complaint through successive motions to dismiss."
22 *In re: Western States Wholesale Natural Gas Antitrust Litigation*
23 *v. Dynegy Inc.*, 2008 WL 11388668 at *3 (D. Nev.) The Ninth
24 Circuit enforces Rule 12(g) strictly. *American Association of*
25 *Naturopathic Physicians v. Hayhurst*, 227 F.3d 1104, 1106-07 (9th
26 Cir.2000); *Aetna Life Insurance Company v. Alla Medical*
27 *Services, Inc.*, 855 F.2d 1470, 1475 n.2 (9th Cir. 1988).

1 **IV. ARGUMENT**

2 **A. Defendants' counterclaim is based on a fatal error.**

3 Counterclaims are governed by Rule 13. A counterclaim may
4 be compulsory under Rule 13(a) or permissive pursuant to Rule
5 13(b). In either case, the rules require that it must be brought
6 by the pleader against an opposing party. "The plain meaning of
7 'opposing party' is a party to the lawsuit - that is, a named
8 party who asserted a claim against the counterclaimants."
9 *Linglong Americas Inc. v. Get it on Wheels, Inc.*, 2018 WL 288014
10 at *3 (E.D. Cal. 2018) citing *GIA-GMI, LLC v. Michener*, 2007 WL
11 1655614 at *4 (N.D. Cal. 2007). Here, defendants' only opposing
12 party is Alex.

13 Defendants have erroneously conflated Scott Allen, the
14 Guardian ad Litem of plaintiff, with being **the plaintiff** in this
15 case. The counterclaim's heading is "COUNTERCLAIM AGAINST
16 PLAINTIFF SCOTT ALLEN." Its allegations are replete with
17 references to Scott Allen as "Plaintiff and Counterclaim
18 Defendant Scott Allen," "Plaintiff Scott Allen" or "Plaintiff."

19 Truth is, Scott Allen is not the plaintiff but rather the
20 guardian ad litem of the plaintiff. Paragraph 3 of plaintiff's
21 First Amended Complaint clearly states that the plaintiff is
22 Alex and that "[h]e is represented herein by his father and
23 Guardian Ad Litem Scott Allen" ("Scott") (ECF No. 8). "A guardian
24 ad litem is not a party to the action, but merely a party's
25 representative, an officer of the court." *Estate of Elkins v.*
26 *Pelayo*, 2020 WL 977931 at *6 (E.D.Cal. 2020), citing *In re*
27 *Christina B.*, 19 Cal.App.4th 1441, 1453 (1993).

1 Under Rule 13, it is impermissible to assert counterclaims
2 solely against non-parties. *Fed. Trade Comm'n v. Noland*, 2020 WL
3 6290388 at *4 (D. Ariz.) As Scott is not a party here,
4 defendants' counterclaim is improperly brought against him. To
5 properly bring Scott in as a party to this lawsuit defendants
6 must have complied with the procedure outlined by Rule 14 which
7 allows a defendant to implead a third party defendant by proper
8 service of a summons and complaint. That said, doing so would be
9 an exercise in futility as will be shown below.

10
11 **B. The court lacks personal jurisdiction over Scott Allen.**

12 As a general rule, service of process is the means by which
13 a court obtains personal jurisdiction over a defendant. Scott's
14 only appearance before the court is in his representative
15 capacity as Guardian ad Litem of Alex. As such, the court has no
16 personal jurisdiction over him. For the court to acquire
17 personal jurisdiction over Scott, defendants must properly serve
18 him with a summons and complaint in compliance with the
19 requirements of Rules 4 and 14. "Proper service of process is a
20 prerequisite to the Court's exercise of personal jurisdiction
21 over a defendant." *Hyde-Rhodes v. Crowley*, 2020 WL 5879100 at *1
22 (D. Idaho). As there is nothing in the record to show that
23 defendants have properly served Scott with a summons and
24 complaint, the court must dismiss defendants' claim against him
25 for lack of personal jurisdiction pursuant to Rule 12(b)(2).
26
27
28

1 C. Negligent parental supervision is not an actionable
2 tort.

3 Defendants' counterclaim does not contain sufficient factual
4 allegations that would allow the court to draw a reasonable
5 inference that Scott did anything as a father that would rise to
6 the level of an actionable tort. Defendants allege that Scott
7 owed a duty to Alex to reasonably supervise him and his other
8 children while they were utilizing the subject waterslide; that
9 Scott breached his duty to supervise by "lounging in an area
10 located above the subject North River Side Pool and Waterslide"
11 and was unable therefrom to maintain visual contact with Alex
12 and his other children as they were using or misusing the
13 subject pool and waterslide; and that such breach was the
14 proximate cause or contributing proximate cause of the injuries
15 sustained by Alex. Lounging in an area located above the
16 waterslide where one's children are playing in a waterpark is
17 very much within the norm of what parents ordinarily do when at
18 a waterpark with their children. If failing to maintain visual
19 contact with one's child or children as they play is to be
20 considered an actionable tort of negligent supervision, then
21 every parent could conceivably be hauled into court and made to
22 account for their act of ordinary supervision with respect to
23 their child or children should they be injured.

24 There are no laws on Guam that specifically create or define
25 a parent's duty of supervision towards one's minor child. There
26 are also no Guam Supreme Court cases that have dealt with or
27 decided the issue of whether there is a legal duty of parental
28

1 supervision that can be breached and/or whether or not a
2 parent's negligent supervision of a minor child is an actionable
3 tort. When faced with matters of first impression, this court
4 has held that its duty is to "predict how the highest state
5 court would decide the issue" using decisions from other
6 jurisdictions and other authority for guidance. *Au v. Tsang*
7 *Bros. Corp.*, 2017 WL 606515 at *5 (D. Guam 2017).

8 In several states, a parent's negligent supervision of his
9 or her child is not recognized as an actionable tort. See,
10 *Holodook v. Spencer*, 36 N.Y.2d 35, 51 (Ct. App. 1974) ("[W]e are
11 not persuaded that a parent's failure to supervise his child is,
12 or on balance should be, a tort actionable by the child"); and
13 *Parsons v. Wham-O, Inc.*, 150 A.D.2d 435, 541 N.Y.S.2d 44, 45
14 (Sup. Ct. App. Div. 1989) ("Negligent parental supervision
15 involving a breach of a duty that exists because of the family
16 relationship and specifically arises from the parent-child
17 relationship is a legally nonexistent tort"). The holding in
18 *Holodook* is still good law in New York and was recently
19 reaffirmed by the New York Supreme Court just last year in
20 *Martinez v. Kaz USA, Inc.*, 183 A.D.3d 720, 721, 121 N.Y.S.3d
21 880, 881 (Sup. Ct. App. Div. 2020) ("There is no legally
22 cognizable cause of action to recover damages for injuries
23 suffered by a minor child against his or her parent for
24 negligent supervision"). The same rule applies in Illinois,
25 *Duensing by Duensing v. Tripp*, 596 F. Supp. 389, 392 (S.D. Ill.
26 1984) ("[A]bsent the parental immunity, Illinois would not
27 recognize a child's action against the parent for negligent
28

1 supervision."); in Alabama, *Beddingfield v. Linam*, 127 So. 3d
2 1178, 1189 (Sup. Ct. Ala. 2013) ("We decline to extend our
3 holdings in negligent-supervision cases to recognize a cause of
4 action based on a parent's negligence or wantonness in
5 supervising his or her own child."); in New Hampshire, *Tate v.*
6 *D.B.*, 2014 WL 545941 at *10 (D. Maine 2014) (applying New
7 Hampshire law) ("The Supreme Court of New Hampshire has not
8 formally recognized the tort of negligent supervision."); and in
9 South Dakota, *Brunner v. Hutchinson Div., Lear-Siegler, Inc.*,
10 770 F. Supp. 517, 523 (D.S.D. 1991) ("South Dakota law does not
11 recognize a third-party's claim against a parent based upon the
12 parent's negligent supervision of the child.")

13 The Supreme Court of Washington had occasion to rule on this
14 very issue as recently as 2017 in the case of *Smelser v. Paul*,
15 188 Wash.2d 648 (Sup. Ct. Wash. 2017). *Smelser* involved injuries
16 to a two year old child who was run over by a car driven by the
17 child's father's girlfriend while the child was playing with his
18 father in his father's driveway. The jury found the father and
19 the girlfriend to be equally at fault. The trial court refused
20 to enter judgment against the father based on the parental
21 immunity doctrine. The Court of Appeals affirmed. The issue
22 raised on appeal brought by the minor child was whether,
23 consistent with the parental immunity doctrine, a parent can be
24 assigned fault based on negligent supervision. Before
25 considering this issue the court stated:

26
27 "[A] preliminary issue that must be resolved is

1 whether a tort duty exists from which fault can be
2 found for negligent parenting. The trial court and
3 Court of Appeals failed to first determine whether a
4 parent can be liable in tort for his or her child's
5 injuries based on a theory of negligent supervision.
6 While cases have described the principle as a form of
7 "parental immunity," what the cases establish is that
8 no tort liability or tort duty is actionable against
9 a parent for negligent supervision. **Simply stated, it
10 is not a tort to be a bad, or even neglectful, parent.**

11 Id., at 653-654 (emphasis added).

12 As seen in the cases cited above, courts have been unwilling
13 to recognize negligent supervision by a parent as an actionable
14 wrong. Hence, even if all the allegations enumerated in
15 defendants' counterclaim are taken as true, Scott's actions do
16 not amount to a tort that is actionable by Alex which would in
17 turn entitle defendants to relief. Judged by the plausibility
18 standard required by Rule 12(b)(6), defendants' counterclaim
19 against Scott for negligent supervision of Alex falls short.

20 **D. The doctrine of parental immunity is a bar to claims**
21 **of negligent supervision.**

22 The defense of immunity based on the relationship of parent
23 and child is acknowledged as being available on Guam. See 5
24 G.C.A. §35316(i) ("The defense of immunity based on the
25 relationship of husband and wife or parent and child does not
26 apply in a proceeding under this Chapter;" and, 7 GCA §
27 39310(d) ("a defense of immunity based on the relationship of
28 husband and wife or parent and child may not be invoked in a
proceeding under this Article.") By excluding the applicability
of the intra-family immunity defense in those specific

1 instances, the Guam Legislature tacitly recognizes that parent-
2 child immunity is available in other circumstances.

3 The doctrine of parent-child immunity generally precludes
4 an unemancipated child from suing his or her parents for damages
5 for personal injuries arising from negligence and vice versa.
6 The Supreme Court of Mississippi was the first U.S. court to
7 articulate this doctrine in *Hewellette v. George*, 68 Miss. 703,
8 9 So. 885 (Sup. Ct. Miss. 1891) where it was held that a parent
9 was immune from liability to a minor child for false
10 imprisonment in an insane asylum. Thereafter, several U.S.
11 courts adopted this doctrine in a variety of circumstances.

12 The principle of parental immunity has been the subject of
13 exhaustive treatment in law review articles, treatises and
14 opinions of numerous courts. Through the years, the doctrine has
15 evolved from absolute immunity to modified immunity to total
16 abrogation altogether **except** in claims of ordinary negligence
17 involving conduct related to parental authority, discretion, or
18 decision-making in the supervision, care, and treatment of a
19 minor child. See, *Goller v. White*, 20 Wis. 2d 402, 122 N.W.2d
20 193 (1963) and Restatement (Second) of Torts §895G (1979). A
21 chronological review of more recent court decisions reveals that
22 the doctrine of parental immunity continues to be upheld as a
23 bar to tort claims of negligent parental supervision.

24 In 1983, in the case of *Foldi v. Jeffries*, 93 N.J. 533 (Sup.
25 Ct. N.J. 1983), the Supreme Court of New Jersey performed an
26 extensive review of the history of the doctrine of parental
27 immunity. *Foldi* involved a 2 ½ year old child who was with her

1 mother in their front yard while the mother was gardening.
2 Unbeknownst to her mother, the minor wandered out of the yard
3 and over to a neighbor's residence two doors away. There, she
4 was bitten on the face by the neighbor's dog. In finding that
5 the doctrine of parental immunity applied as a bar to suit
6 against a parent for simple negligence in supervision, the
7 court, in a lengthy but critical passage noted:

8
9 There are certain areas of activities within the
10 family sphere involving parental discipline, care, and
11 control that should and must remain free from judicial
12 intrusion. Parents should be free to determine how the
13 physical, moral, emotional, and intellectual growth of
14 their children can best be promoted. That is both
15 their duty and their privilege. Indeed, every parent
16 has a unique philosophy of the rearing of children.
That philosophy is an outgrowth of the parent's own
economic, educational, cultural, ethical, and
religious background, all of which affect the parent's
judgment on how his or her children should be prepared
for the responsibilities of adulthood. Such
philosophical considerations come directly to the fore
in matters of parental supervision.

17 There is no recognized correct theory on how much
18 freedom a parent should allow his or her children.
Some parents believe that a child must be made
self-reliant at an early age and accordingly give
19 their children a great deal of independence. To
20 outsiders, such independence may look like
indifference or neglect. On the other hand, some
parents believe that their children must be vigilantly
monitored from infancy through adolescence. To
21 outsiders, such vigilance and concern may appear to
22 shelter the children from the world and to thwart
their development.

23 As each parent is different, so is each child. There
24 is no one ideal "formula" for how much supervision a
child should receive at a given age. What may be
25 perfectly safe to entrust to one five year old may be
utterly dangerous in the hands of another child of the
26 same age. This disparity often proves true even among
siblings in the same household. The parent is clearly
27 in the best position to know the limitations and

1 capabilities of his or her own children. These
2 intangibles cannot be adequately conveyed within the
3 formal atmosphere of a courtroom. Nor do we believe
4 that a court or a jury can evaluate these highly
5 subjective factors without somehow supplanting the
6 parent's own individual philosophy.

7 Id. at 545-546.

8 The 1992 case of *Horn By and Through Kirsch v. Price*, 255
9 N.J. Super. 350 (App. Div. 1992) involved a 6 year old girl who
10 was injured when, against her mother's specific instructions,
11 she exited the family automobile while it was pulled over on the
12 side of the road for attempted repair. In an action by the child
13 against her mother the court found that the mother's actions of
14 instructing her child to remain inside the vehicle, constituted
15 parental supervision. The court further stated that even if the
16 mother's supervision could somehow be considered negligent, her
17 conduct did not rise to the level of willful or wanton
18 misconduct such that parental immunity would not apply.

19 In 1999, the case of *Crotta v. Home Depot, Inc.*, 249 Conn.
20 634 (Sup. Ct. Conn. 1999) involved a child whose father had
21 placed him in the cargo section of the shopping cart provided by
22 Home Depot for use by its customers. While the child's father
23 was crouched down examining an item of merchandise, the child
24 fell from the shopping cart and struck his head on the concrete
25 floor thereby sustaining serious physical injuries. The child
26 sued Home Depot and the manufacturer of the shopping cart, Tote-
27 Cart. The defendants brought a third party complaint against the
28 child's father for negligent supervision seeking indemnification

1 or contribution. The Supreme Court of Connecticut held that (1)
2 the doctrine of parental immunity operates to preclude the
3 parent of a minor plaintiff from being joined as a third-party
4 defendant for purposes of apportionment of liability or
5 contribution for negligent supervision; and (2) since the
6 doctrine of parental immunity barred the child's claim for
7 negligent supervision, the store and the manufacturer of the
8 shopping cart had no basis upon which to assert a common-law
9 claim for indemnification against the parent.

10 In 2001, the case of *Sias ex rel. Mabry v. Wal-Mart Stores,*
11 *Inc.*, 137 F. Supp. 2d 699 (S.D. W.Va. 2001) involved a child who
12 together with her mother sued Wal-Mart for damages that resulted
13 from the use of a bicycle purchased from Wal-Mart. The
14 plaintiffs alleged that the accident was caused by a defect of
15 the bicycle's training wheels or its negligent assembly by the
16 store. The Store filed a counterclaim against the mother for
17 negligent supervision of her child. The court held that the
18 counterclaim was barred by the parental immunity doctrine. The
19 court stated that the "real purpose behind the doctrine 'is
20 simply to avoid undue judicial interference with parental
21 discretion. The discharge of parental responsibilities...entails
22 countless matters of personal, private choice. In the absence of
23 culpability beyond ordinary negligence, those choices are not
24 subject to review in court.'" *Id.* at 701.

25 In 2004, the case of *Buono v. Scalia*, 179 N.J. 131 (Sup. Ct.
26 N.J. 2004) involved a minor pedestrian who was struck and
27 injured by a minor bicyclist. The injured minor brought a

1 negligent supervision claim against the bicyclist's parents. The
2 lower court granted summary judgment for the bicyclist and his
3 parents and the pedestrian appealed. The Supreme Court of New
4 Jersey held that the father's determination that his minor child
5 could ride a bike within the confines of a neighborhood block
6 party where parked cars were removed from the street and which
7 was closed to traffic was a valid exercise of parental
8 decision-making which gave rise to immunity, under the parental
9 immunity doctrine, from a negligent supervision claim. Citing
10 its decision in *Foldi*, the *Buono* court stated:

11
12 That rationale, articulated by the unanimous Court in
13 *Foldi* and cited at length elsewhere in this opinion,
14 retains its currency for the narrow class of cases to
15 which we have adverted, including the case before us.
16 To reiterate our earlier stated belief, the conduct of
17 each party here "falls within the realm of activities
18 which partake of the everyday exigencies of regular
household existence that [should be] exempted from
simple negligence liability[.]" That belief is
grounded in a judicial policy that seeks merely to
define the limited circumstances under which parents
can rear their children free "from scrutiny by judge
or jury."

19 Id. at 144 (internal citations omitted).

20 In 2008, the case of *Zellmer v. Zellmer*, 164 Wash.2d 147
21 (Sup. Ct. Wash. 2008) involved the accidental death of a 3 year
22 old girl who drowned in a backyard swimming pool while under the
23 supervision of her stepfather. That day, the child, who
24 ordinarily went to daycare, stayed home with her stepfather
25 because she was sick. At around 5:00 p.m., her stepfather
26 started a video for her to watch in her bedroom and then went
27

1 downstairs to build a fire. About an hour later, the stepfather
2 realized that the child was no longer in her room and he noticed
3 the sliding glass door leading to the backyard was open. He
4 found the child floating in the swimming pool. The paramedics
5 were able to resuscitate the child but she died in the hospital
6 2 days later. The trial court ruled the parental immunity
7 doctrine shielded the stepfather from liability for negligence
8 in connection with her death. The child's biological parents
9 challenged that ruling. The Supreme Court of Washington
10 reaffirmed that the doctrine of parental immunity precludes
11 liability for negligent parental supervision except for a
12 parent's wanton or willful failure to supervise a child. The
13 court further held that the parental immunity doctrine shields
14 a stepparent to the same extent as a biological or adoptive
15 parent so long as the stepparent stands *in loco parentis* to the
16 child.

17 In 2009, in *Harris v. Wal-Mart Stores, Inc.*, 630 F.Supp.2d
18 954 (C.D.Ill. 2009) a boy, while at Wal-Mart with his mother,
19 accidentally suffered an eye injury as he was walking between a
20 clothing rack and a shopping cart. His left eye was punctured by
21 a four-cornered metal prong protruding from the clothing rack
22 that was hidden by clothing hanging above it. The jury awarded
23 damages to the boy and his parents but reduced the damage award
24 by 45% due to the negligence attributable to the boy's mother.
25 On appeal, the court held that the mother of the boy is entitled
26 to parent-child immunity as to any claim by her child that she
27 failed to properly supervise him and as such defendant was not

1 entitled to contribution from the mother.

2 The 2020 case of *Nolasco v. Malcom*, 307 Neb. 309, (Sup. Ct.
3 Neb. 2020), involved a lawsuit against the estate of the
4 deceased mother for the death of her daughter and injuries
5 suffered by her son as a result of her negligent operation of a
6 motor vehicle. The lower court granted summary judgment for the
7 mother's estate stating that the claims were barred by the
8 parental immunity doctrine. The daughter's estate and son
9 appealed. The Supreme Court of Nebraska stated that its prior
10 opinions developing, applying, and discussing the doctrine of
11 parental immunity have involved allegations of negligence
12 relating directly to the treatment or supervision of a child or
13 ward by a parent or one standing in relation to a parent which
14 are consistent with the expressed reasons for adopting a
15 modified immunity rule in Nebraska: "to protect the proper
16 exercise of parental authority, to recognize that parents are
17 entitled to discretion in how they raise and discipline their
18 children, and to protect against tort liability because of a
19 legitimate parental decision." *Id.* at 328 (internal quotation
20 marks removed). The court stated that it considered these
21 justifications for the doctrine to be as valid today as when
22 introduced into Nebraska law. The court held that there is no
23 need to expressly modify the doctrine to exclude automobile
24 negligence cases because claims of negligence in the operation
25 of a motor vehicle rarely involve the exercise of parental
26 authority or discretion in the supervision, care, and treatment
27 of a child.

1 Defendants' counterclaim against Scott for lounging and
2 failing to maintain visual contact with his children as they
3 used, or allegedly misused, the waterslide nearby is well within
4 the exercise of his parental authority and discretion. Even if
5 Scott's actions amount to negligent supervision, not only is it
6 not an actionable tort, it is also protected by the defense of
7 immunity based on his father-son relationship with Alex which is
8 available to him under Guam law. Defendants' counterclaim
9 clearly fails to state a claim upon which relief can be granted.

10
11 **E. Defendants have no basis for claiming contribution**
12 **from Scott Allen.**

13 Defendants' claim for contribution from Scott is governed
14 by 7 G.C.A. §24601, et. seq., otherwise known as the
15 Contribution Among Joint Tortfeasors Act. It provides the method
16 in which apportionment of responsibility is made amongst joint
17 tortfeasors. 7 GCA §24603 states that:

18 "In determining the percentage shares of tortfeasors
19 in the entire liability, their relative degrees of
20 fault shall be considered by the trier of fact. A
21 tortfeasor entitled to contribution shall recover from
22 each remaining tortfeasor an amount which is based on
23 the percentage of causal negligence attributable to
24 each."

25 In a case interpreting this very statute, the Guam Supreme Court
26 held that the Government who had been dismissed and no longer a
27 party in the case cannot have any liability attributed to it.
28 "By the terms of the apportionment statute, the Government is

1 not a "tortfeasor" or a "remaining tortfeasor" and cannot have
2 liability apportioned to it." *Lujan v. Est. of Rosario*, 2016
3 Guam 28 at ¶80.

4 Defendants' claim for contribution from Scott therefore
5 necessarily depends first upon a finding that Scott can be held
6 liable as a tortfeasor to Alex. The *Crotta* court, having
7 analyzed this same situation, determined that no contribution
8 may be obtained from a parent of a minor in such an instance. It
9 reasoned that:

10
11 "As a general proposition, a tortfeasor compelled to
12 discharge a liability for a tort cannot recover
13 contribution from a joint tortfeasor whose
14 participation therein gave the injured person no cause
15 of action against him, since the element of common
16 liability of both tortfeasors to the injured person,
17 essential to the right of contribution, is lacking in
18 such cases." 25 A.L.R.4th 1123, Joint Tortfeasor
19 Contribution-Family §§ 2[a] (1983); 18 Am.Jur.2d,
20 supra § 65. "The contribution defendant must be a
21 tortfeasor, and originally liable to the plaintiff. If
22 there was never any such liability, as where the
23 contribution defendant has the defense of family
24 immunity ... then there is no liability for
25 contribution." W. Prosser & W. Keeton, Torts (5th
26 Ed.1984) § 50, pp. 339-40; 18 C.J.S., Contribution §
27 29 (1990) (recognizing that third party may not
28 recover contribution against parent where child has no
cause of action against parent for negligent
supervision).

22 *Crotta v. Home Depot, Inc.*, supra at 640-41. Since Alex cannot
23 make a claim against his own father for negligent supervision;
24 and, because Scott cannot be held liable to Alex under the
25 doctrine of parental immunity, defendants have no basis for
26 bringing a third party claim for contribution against Scott.

1 Stated differently, what Alex cannot do directly, defendants
2 cannot be allowed to do indirectly. Clearly a Rule 14 motion to
3 bring Scott in as a third party defendant would be futile.

4
5 **V. CONCLUSION**

6 Defendants' counterclaim is improperly brought against Scott
7 who is not a party to this lawsuit. There is nothing in the
8 record of this case that would show that the court has personal
9 jurisdiction over Scott. Even if defendants complied with the
10 requirements of Rule 4 and 14 to bring him in as a third party
11 defendant, it is evident from the allegations in their
12 counterclaim that they do not have a claim against him upon
13 which relief can be granted. Dismissal of defendants' claim
14 against Scott is appropriate and should be granted.

15
16 Respectfully submitted,

17 **KEOGH LAW OFFICE**
18 Attorneys for Plaintiff

19 DATE: July 5, 2021

By: /s/ Robert L. Keogh
ROBERT L. KEOGH